### IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF LOUISIANA

In re: Oil Spill by the Oil Rig "Deepwater \* MDL No. 2179

Horizon" in the Gulf of Mexico,

On April 20, 2010 \* Section: J

\*

This filing relates to: 

\* District Judge Carl J. Barbier

*15-4143, 15-4146 & 15-4654* \*

\* Chief Magistrate Judge

\* Joseph Wilkinson

#### <u>ORDER</u>

[Approving Court Approved Procedure 1 regarding the Rules Governing the Third Party Claims Dispute Resolution Process for the Halliburton Energy Services, Inc., and Transocean Settlements Program, Appointment of the Third Party Claims Dispute Adjudicator, and Court Approved Procedure 2 for the Determination of an Alternate Payee for Deceased, Minor, or Incompetent Claimants]

CONSIDERING the request by Michael Juneau, the New Class Claims Administrator of the HESI and Transocean Settlement Agreements, and Patrick Juneau, the Old Class Claims Administrator of the HESI and Transocean Settlement Agreements (the "Claims Administrators"), for entry of an Order in aid of implementation of the Settlements Program, pursuant to this Court's continuing and exclusive jurisdiction under Section 25 of the HESI Punitive Damages and Assigned Claims Settlement Agreement (Amended as of September 2, 2015) and the Transocean Punitive Damages and Assigned Claims Settlement Agreement (the "Settlement Agreements"), and being fully apprised, IT IS HEREBY ORDERED as follows:

1. The Rules Governing the Third Party Claims Dispute Resolution Process attached hereto for reference are approved as Court Approved Procedure 1 to govern the process by

which the Third Party Claims Adjudicator and/or Claims Administrator shall resolve certain disputes regarding Third Party Claims filed against payments to be made by the Claims Administrators to eligible claimants under the Settlement Agreements.

#### 2. Appointment of Third Party Claims Adjudicator.

- (a) The Court appoints Michael J. Juneau to act as the Third Party Claims Adjudicator ("Adjudicator") over disputes regarding third party claims for attorney fees or fees for other services performed in connection with a Settlements Program claim filed against payments to be made by the Claims Administrators to eligible claimants under the Settlement Agreements. This Appointment is made pursuant to the terms of the Settlement Agreements and the inherent authority of the Court.
- (b) If the appointed Adjudicator should need to be replaced due to incapacitation by death or illness (including mental health illness) or dereliction of responsibility, or he or she is unable to perform the role of Adjudicator in accordance with the terms of this Order, the individual appointed by the Court to replace Michael J. Juneau as New Class Claims Administrator shall also serve as Third Party Claims Adjudicator.
- (c) The Adjudicator shall resolve disputes over third party claims for attorney fees or fees for other services performed in connection with a Settlement Program claim and shall issue a final written decision resolving the dispute. The Adjudicator's decision resolving the dispute shall be final and binding on the Third Party Claimant, the Claimant and the Claims Administrator.
- (d) The Adjudicator shall send a copy of the final decision to the Claims Administrator. The Claims Administrator will make payments to the Third Party Claimant and/or the Claimant according to the provisions of the Settlement Agreement and Court Orders regarding settlement implementation, including the Order Capping

2

Individual Attorneys' Fees in the HESI and Transocean Punitive Damages and Assigned

Claims Settlement Agreements [Rec. Doc. 24470], if the dispute is over an attorney fee

lien.

(e) The Adjudicator shall, upon request of the Court, report regarding matters

relating to the operation of the Third Party Claims Dispute Resolution Process.

(f) The Adjudicator shall have the authority to take all appropriate measures to

perform the assigned duties.

(g) The Adjudicator shall have the same immunity as judges for his actions as

the Adjudicator.

3. The Rules Governing the Resolution of Claims on Behalf of Deceased, Minor, or

Incompetent Claimants attached hereto for reference are approved as Court Approved

Procedure No. 2, to govern the process by which the Claims Administrators will process

and pay claims asserted by the representatives of Deceased Claimants, Minor Claimants

or Incompetent Claimants.

4.

This Court retains exclusive jurisdiction over the interpretation, implementation and

enforcement of the Rules described in this Order and of both the Third Party Claims

Dispute Resolution Process and Resolution of Claims on Behalf of Deceased, Minor, or

Incompetent Claimants.

New Orleans, Louisiana this 20th day of September, 2018

CARL J. BARBIER

United States District Judge

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# RULES GOVERNING THE THIRD PARTY CLAIMS DISPUTE RESOLUTION PROCESS

Effective September 20, 2018

#### **Table of Contents**

		<u>Page</u>
TITLE I:	GENERAL	1
Rule 1.	Purpose of These Rules	1
Rule 2.	Definitions	1
Rule 3.	Amendments to These Rules	1
Rule 4.	Third Party Claims Adjudicator	1
Rule 5.	Service of Papers	1
Rule 6.	Computation of Time and Dates of Submission	1
TITLE II:	PARTIES, DISPUTES AND SUBMISSIONS OF DISPUTE	2
Rule 7.	Parties	2
Rule 8.	Types of Disputes	2
Rule 9.	Attempts to Reach an Agreement as a Prerequisite for Adjudicator Resolutio	n. 3
Rule 10.	Submission of Dispute to the Claims Administrator	3
TITLE III:	THE RECORD	4
Rule 11.	Record of Dispute	4
Rule 12.	Issues in Dispute	4
TITLE IV:	DISPUTE RESOLUTION PROCESS	4
Rule 13.	Dispute Process	4
Rule 14.	Withdrawal of Dispute	5
Rule 15.	Hearing Procedure	6
Rule 16.	Certification as to Truth of Information Submitted	6
Rule 17.	Adjudicator Decision	6

Rule 18.	Inherent Jurisdiction of the Court7		
Rule 19. Miscellaneous			
	<b>Exhibits</b>		
Exhibit A	Request for Third Party Claim Dispute Resolution		
Exhibit B	Telephonic Hearing Schedule		
Exhibit C	Withdrawal of Dispute		
Exhibit D	Final Decision Template as to Fee Lien Dispute		

#### TITLE I: GENERAL

- **Rule 1. Purpose of These Rules.** These Rules govern the Process for resolving certain disputes as to whether a Third Party Claimant is entitled to be paid out of the affected Claimant's Settlement Payment and/or as to the amount of a Third Party Claim under the First Court Approved Procedure Order No. 1 regarding Third Party Claims and/or Liens Against Claimant Settlement Payments ("CAP").
- **Rule 2. Definitions.** Unless otherwise defined in these Rules, all undefined terms used in these Rules shall have the same meanings given to such terms in the Halliburton and Transocean Settlement Agreements ("Settlement Agreements") and the CAP.
- **Rule 3.** Amendments to These Rules. Any amendments to these Rules shall be subject to Court approval.
- Rule 4. Third Party Claims Adjudicator. Pursuant to the Court's continuing and exclusive jurisdiction under the Settlement Agreements and the February 15, 2017, Order and Reasons Granting Final Approval of the HESI and Transocean Punitive Damages and Assigned Claims Class Action Settlements, the Court has appointed the HESI and Transocean Settlements New Class Claims Administrator to also act as the Third Party Claims Adjudicator ("Adjudicator").
- **Rule 5. Service of Papers.** A party shall serve all papers, notices or process associated with the dispute on the Claims Administrator and the opposing party by any of the following methods:
  - (a) By electronic mail transmission to the Claims Administrator at <a href="mailto:questions@gulfspillpunitivedamagessettlement.com">questions@gulfspillpunitivedamagessettlement.com</a> and to the opposing party at the email address provided in the Request for Third Party Claim Dispute Resolution incorporating "THIRD PARTY CLAIM(S) DISPUTE" in the subject line;
  - (b) By regular U.S. mail or overnight courier to the Claims Administrator at:

HESI/Transocean Punitive Damages & Assigned Claims Settlements PO Box 10260 5151 Blazer Pkwy, Suite A Dublin, OH 43017-5760

And to the opposing party at the address provided in the Request for Third Party Claim Dispute Resolution; or

(c) By any other legal form of service under applicable law.

#### Rule 6. Computation of Time and Dates of Submission.

(a) Any time period prescribed by these Rules shall be computed as follows: In counting the days prescribed, a) exclude the day of the event that triggers the period, b) count every day, including intermediate Saturdays, Sundays, and legal holidays, and c) include the last day of the period, but if the last day is a Saturday, Sunday, or legal holiday, the period continues to run until the end of the next day that is not a Saturday, Sunday, or legal holiday. Legal holidays are: New Year's

- Day, Martin Luther King, Jr.'s Birthday, Washington's Birthday, Memorial Day, Independence Day, Labor Day, Columbus Day, Veteran's Day, Thanksgiving Day, Christmas Day, and any other day designated by the New Class Administrator, Michael Juneau (the "Claims Administrator"). This statement is subject to any further clarifications from the Claims Administrator.
- (b) Determining the Date of Submission: Any document submitted by posting to the Portal or by email shall be considered submitted on the date uploaded or emailed at the local time of the submitting party. Documents submitted by mail shall be considered submitted on the postmark date. Documents submitted by overnight delivery shall be considered submitted on the date delivered to the carrier. Documents submitted by personal delivery shall be considered submitted on the date of receipt.

#### TITLE II: PARTIES, DISPUTES AND SUBMISSION OF DISPUTE

**Rule 7.** Parties. The affected Settlement Program Claimant ("Claimant") and the individual or entity asserting the Third Party Claim ("Third Party Claimant") shall be the parties. The Claims Administrator shall not be considered a party to the Dispute.

#### Rule 8. Types of Disputes.

- (a) Types of Disputes the Adjudicator Will Resolve: The Adjudicator will resolve any disagreement between a Claimant and a Third Party Claimant over a Third Party Claim for attorney fees or fees for other services performed in connection with a Settlement Program claim as to whether a Third Party Claimant is entitled to be paid out of the affected Claimant's Settlement Payment and/or the amount of any such payment. Any such disagreement shall be considered to be a "Dispute" covered by this Procedure.
- (b) Types of Disputes the Adjudicator Will Not Resolve:
  - (1) The Adjudicator will not resolve any disagreement between a Claimant and a Third Party Claimant over the amount of a Third Party Claim asserted by a state or federal agency, such as child support obligations or IRS levies. Any such disagreement is not covered by this Procedure and must be resolved by written agreement of the parties or by a final decision from that state or federal agency determining the amount, if any, to be paid to the Third Party Claimant.
  - (2) The Adjudicator will not resolve any disagreement between a Claimant and a Third Party Claimant over the amount of a Third Party Claim based on a final judgment. Any such disagreement is not covered by this Procedure and must be resolved by written agreement of the parties or by a subsequent modifying order from the operative court.
  - (3) The Adjudicator will not resolve any disagreement between a Claimant and a Third Party Claimant over a Third Party Claim based on an underlying debt other than a claim for fees for services performed in connection with a Settlement Program claim.

Rule 9. Attempts to Reach an Agreement as a Prerequisite for Adjudicator Resolution. Neither party may submit a Dispute to the Adjudicator unless the parties have made reasonable efforts to resolve the Dispute by agreement.

#### Rule 10. Submission of Dispute to the Claims Administrator.

- (a) Request for Third Party Claim Dispute Resolution: One of the parties shall complete and submit a Request for Third Party Claim Dispute Resolution ("Request Form") (Exhibit A) to the Claims Administrator.
- (b) Time for Submission: The requesting party shall submit a Request Form to the Claims Administrator within 20 days after the date of the Notice of Third Party Claim Dispute from the Claims Administrator.
- (c) Submission Method: The requesting party shall serve the completed Request Form on the Claims Administrator and on the opposing party by one of the methods prescribed in Rule 5.
- (d) In the event neither party submits the Request Form within 20 days of the Notice of Third Party Claim Dispute from the Claims Administrator as described herein, the Claims Administrator in his capacity as Adjudicator will:
  - (1) Initiate review of the file of record to determine:
    - a. If the dispute is subject to the jurisdiction of an applicable state or federal agency or court; or
    - b. If the dispute relates to fees directly attributable to the HESI and Transocean Settlements Program claim filing.
  - (2) If the dispute is subject to the jurisdiction of an applicable state or federal agency or court, the Claims Administrator will either:
    - a. Make payments as required by a written agreement from the parties or the final decision of the applicable state or federal agency or court if the dispute has been resolved, or
    - b. Direct the parties to work with the HESI and Transocean Settlements Program to effect an orderly and efficient mechanism for (a) the deposit of the withheld disputed funds into the registry of the appropriate court or (b) the disbursement of the funds to an escrow holder or by some other manner acceptable to and agreed upon by the Claims Administrator, satisfying any release, tax reporting, and other documentation requirements of the HESI and Transocean Settlements Program, and in accordance with the Settlement Agreements and Court orders regarding settlement implementation, including the Order Regarding the Confidentiality of Claims Information of the Claims Administrator of the Deepwater Horizon Economic and Property Damages Settlement, which has been extended to cover the HESI and Transocean Settlements as well.

(3) If the dispute is related to fees directly attributable to the HESI and Transocean Settlements Program claim filing, the Claims Administrator will determine the distribution of the withheld funds between the Claimant and the Third Party Claimant and issue payment of the withheld funds accordingly. Any such determination by the Claims Administrator will be made in his sole discretion and shall be final, binding, and non-appealable.

#### TITLE III: THE RECORD

#### Rule 11. Record of Dispute.

- (a) The Record to be considered by the Adjudicator shall consist of:
  - (1) The CAP;
  - (2) The May 18, 2018, Order setting limits on individual attorneys' fees ("Order limiting fees") if the Dispute is over an attorney fee lien;
  - (3) The Notice of Valid Third Party Claim and all enforcement documents, as sent to the parties by the Claims Administrator during the Third Party Claims Process;
  - (4) The Request for Third Party Claim Dispute Resolution (Exhibit A);
  - (5) The parties' Statements of Dispute and any exhibits, pursuant to Rule 13(a)(1);
  - (6) The parties' Responses to Statements of Dispute, pursuant to Rule 13(a)(2);
  - (7) Any additional evidence produced by either party in response to a direct request by the Adjudicator; and
  - (8) Any motions for extensions or modifications of submission deadlines.
- (b) The Claims Administrator shall provide items (1)-(3) of the Record. The parties shall serve each other and the Claims Administrator with items (4)-(8) of the Record pursuant to Rule 13.
- (c) The Claims Administrator shall assemble the complete Record and provide it to the Adjudicator, along with a statement of the amount of the Settlement Payment(s) withheld pending resolution of the Dispute, pursuant to Rule 13(a)(3).
- **Rule 12. Issues in Dispute**. The issues in dispute shall be limited to those originally raised by the parties in the Statements of Dispute.

#### **TITLE IV: DISPUTE RESOLUTION PROCESS**

**Rule 13. Dispute Process.** The Adjudicator shall decide the Dispute based on the Record and on arguments made during telephonic hearing, if any is determined to be necessary by the Adjudicator in his sole discretion.

- (a) Document Exchanges and Submissions:
  - (1) Within 20 days after the date of the Request Form, each party shall serve the Claims Administrator and the opposing party with a Statement of Dispute Memorandum ("Statement of Dispute") that includes a statement of all issues, the relief sought and copies of any exhibits.
  - (2) Within 10 days after the date of the opposing party's Statement of Dispute, each party shall serve the Claims Administrator and the opposing party with a Memorandum in Response to the opposing party's Statement of Dispute ("Response"). Any request for a telephonic hearing must be made in the Response.
  - (3) Within 7 days after the date of the last Response, the Claims Administrator shall provide the complete Record to the Adjudicator, along with a statement of the amount of any Settlement Payments withheld pending resolution of the Dispute.
  - (4) Any documents received after the Claims Administrator provides the Record to the Adjudicator shall be excluded from the Record, unless directed otherwise by the Adjudicator.

#### (b) Telephonic Hearing:

- (1) A party may request a telephonic hearing with the Adjudicator in its Response.
- (2) A telephonic hearing is allowed at the discretion of the Adjudicator if it would aid the Adjudicator in the resolution of the Dispute.
- (3) If the Adjudicator allows a telephonic hearing, the Adjudicator shall issue a Telephonic Hearing Schedule ("Schedule") (Exhibit B) within 7 days of receiving the Record from the Claims Administrator.
- (4) The Claims Administrator shall serve the Schedule on the parties.
- (5) The telephonic hearing shall be scheduled no later than 45 days after the date of the Schedule.
- (c) Costs: There are no costs associated with this Process. If a party needs an interpreter for the hearing, the party shall make the necessary arrangements and pay for the interpreter's services independent of this Process.
- (d) Extensions of Time: Extensions of deadlines are discouraged. Upon written motion of a party and a showing of good cause, the Adjudicator may exercise discretion to extend or modify any submission deadline established by these Rules. The Adjudicator shall issue a notice of any extension or modification of a submission deadline. The Claims Administrator shall serve the notice on the parties.
- **Rule 14. Withdrawal of Dispute.** If at any time before the Final Decision the parties reach an agreement and each party serves a signed Withdrawal of Third Party Claim Dispute ("Withdrawal") (Exhibit C) on each other and the Claims Administrator, the Process shall end. The Claims Administrator will pay the withheld portion of the Settlement Payment to the

Third Party Claimant and/or to the Claimant as agreed to and stated in the Withdrawal and according to the provisions of the Settlement Agreement and Court Orders regarding implementation.

- **Rule 15. Hearing Procedure.** If the Adjudicator exercises discretion and allows a telephonic hearing, the following procedure shall apply.
  - (a) Testimony Under Oath or Affirmation: Hearing testimony shall be submitted under oath or affirmation administered by the Adjudicator or by any duly qualified person.
  - (b) Length of Hearing: Telephonic hearings shall last no longer than one hour. The hearing may be extended by up to one hour if the Adjudicator determines that additional time will aid the Adjudicator in the resolution of the Dispute.
  - (c) Conduct of Hearing: At the opening of the hearing, the Adjudicator shall make a written record of the time, place, and date of the hearing, and note the participants. There will be no court reporter or other recording of the hearing. Each party may present an opening statement. The Claimant will then present the Claimant's case and may reference any documents or statements that are part of the Record. The Third Party Claimant may then present the Third Party Claimant's case and may reference any documents or statements that are part of the Record. The Claimant may then present rebuttal evidence. Each party may conclude with a closing argument. The Adjudicator may exercise discretion to vary this procedure.
  - (d) Evidence: The evidence that the Adjudicator may consider is limited to the Record and oral arguments and testimony presented during the telephonic hearing.
  - (e) Participation: All parties and their counsel, if any, must participate in the hearing. Failure to participate without prior approval from the Adjudicator will result in the Adjudicator issuing a Final Decision based on the Record and the participating party's argument and testimony presented during the hearing.
  - (f) Representation by Counsel: The parties have the right to be represented by advocates, who need not (but may) be attorneys.
  - (g) Conclusion of Hearing: After the presentation of oral argument and closing statements, the Adjudicator shall declare the hearing closed. The Adjudicator shall make a written record of the time the hearing is closed.
- **Rule 16.** Certification as to Truth of Information Submitted. Each party certifies and declares under penalty of perjury pursuant to 28 U.S.C. Section 1746 that all of the information, oral and written, submitted in this Process is true and accurate to the best of that party's knowledge and understands that false statements or claims made in connection with this Process may result in fines and/or any other remedy available by law to the Federal Government.

#### Rule 17. Adjudicator Decision.

(a) Issuance: The Adjudicator shall issue a Final Decision within 30 days after receipt of the Record. If a hearing is conducted, the Adjudicator shall issue a Final Decision within 30 days after the hearing date. The Adjudicator may extend the

- deadline for issuance of a Final Decision if, in the Adjudicator's discretion, an extension is necessary.
- (b) Content: The Final Decision (Exhibit D) shall be in writing and signed by the Adjudicator. The Final Decision shall include the amount of the withheld portion of the Settlement Payment, the amount to be paid to the Third Party Claimant, and the amount to be paid to the Claimant. The Claims Administrator shall pay the Third Party Claimant and/or Claimant according to the provisions of the Settlement Agreement and Court Orders regarding implementation, including the CAP and the Order limiting fees.
- (c) Service: The Adjudicator shall serve the written Final Decision on the Claims Administrator. At the same time, the Adjudicator shall transfer the file and Record to the Claims Administrator. The Claims Administrator shall serve the written Final Decision on each party.

#### (d) Enforcement.

- (1) Final and Binding Decision: The Adjudicator's Final Decision shall be final, binding and non-appealable.
- (2) Modifying or Correcting the Final Decision: Within 7 days after the date of the Final Decision, the Adjudicator may exercise discretion to modify or correct the Final Decision if there was a mathematical error or an obvious material mistake in computing the amount to be paid to the Third Party Claimant or to the Claimant.
- **Rule 18.** Inherent Jurisdiction of the Court. The Court maintains the discretionary right to receive reports from the Adjudicator or the Claims Administrator about this Process and to consider whether this Process complies with the Settlement Agreement and Court Orders regarding settlement implementation, including the CAP and the Order limiting fees.

#### Rule 19. Miscellaneous.

- (a) No Ex Parte Communication: There shall be no direct communication between a party and the Adjudicator except at the telephonic hearing, if any. All communication shall be made with the HESI and Transocean Settlements Program.
- (b) Telephone Access for Hearing: The Claims Administrator shall make the necessary arrangements for telephone access if it is requested by a party in its Response and if the Adjudicator allows a telephonic hearing.
- (c) Interpreter: If a party needs an interpreter for this Process, the party shall make the necessary arrangements and pay for the interpreter's services independent of this Process.
- (d) Change of Address: If either party at any time during this Process changes its address or phone number, the burden shall be on that party to notify the Claims Administrator and the opposing party immediately. The Claims Administrator will keep all addresses on file, and the parties may rely on these addresses until the Claims Administrator notifies them of a change.

#### Case 2:10-md-02179-CJB-JCW Document 24877-1 Filed 09/21/18 Page 11 of 17

- (e) Choice of Law: The Adjudicator shall apply the substantive laws of the state of Louisiana to the resolution of all Disputes.
- (f) Adjudicator Immunity: The Adjudicator shall have the same immunity as judges for all official acts.

#### **Exhibit A**

REQUEST FOR THIRD PARTY CLAIM DISPUTE RESOLUTION						
You may use this form <b>only</b> t a Settlement Program claim.	You may use this form <b>only</b> to request Third Party Claim Dispute Resolution for Fee Liens for services performed in connection with a Settlement Program claim.					
	I. SETTLE	MENT PRO	GRAM CLAIMANT I	INFORMATION NECESTRAL	ON	
Settlement Program Claimant	Name or Full Name of Bu	ısiness				
Claimant Identification Number						
	c/o Attorney (If Represer	nted)				
Address	Street					
	City			State		Zip
Telephone Number	()		_			
Facsimile Number, if any	()		_			
Email Address						
II. THIRD PARTY CLAIMANT INFORMATION						
Third Party Claimant	Name or Full Name of Bu	ısiness				
	c/o Attorney (If Represe	nted)				
Address	Street					
	City		State		Zip	
Telephone Number	()		_			
Facsimile Number, if any	()		_			
Email Address			@			

#### Case 2:10-md-02179-CJB-JCW Document 24877-1 Filed 09/21/18 Page 13 of 17

	III. SUMM	IARY OF DISPUTE
	Brief Summary of Issues in Dispute	
Summary of Dispute		
	IV. How to Contact Us	WITH QUESTIONS OR FOR HELP
If you have any questions about the Third Party Cla questions@gulfspillpunitivedamagessettlement.com		
You may also visit <a href="http://www.gulfspillpunitivec">http://www.gulfspillpunitivec</a> Asked Questions and the Rules Governing the Third Par		
V.	How to Serve This Requ	JEST ON THE CLAIMS ADMINISTRATOR
You may submit this Re	quest in either of the following w	vays:
By Mail		HESI/Transocean Punitive Damages & Assigned Claims Settlements PO Box 10260 Dublin, OH 43017-5760
By Email		questions@gulfspillpunitivedamagessettlement.com
By Overnight, Certific	ed or Registered Mail	HESI/Transocean Punitive Damages & Assigned Claims Settlements ATTN: Third Party Claims 5151 Blazer Pkwy, Suite A Dublin, OH 43017-5760

#### **Exhibit B**

#### THIRD PARTY CLAIMS DISPUTE RESOLUTION PROCESS

#### TELEPHONIC HEARING SCHEDULE

DISPU	
CASE	ENO:
	on the hearing request in the Response(s) to the Statements of the Dispute, on this day, 201, it is ORDERED:
1.	This dispute will be decided after a telephonic hearing on, 201, at AM/PM.
2.	This hearing shall be conducted by telephone conference at the following number:, confirmation code:
3.	This telephonic hearing shall last no longer than one hour. The Adjudicator may exercise discretion to extend the hearing by up to one hour if additional time would aid the Adjudicator in the resolution of the Dispute.
4.	All parties and their counsel, if any, must participate in the hearing. Failure to participate without prior approval from the Adjudicator will result in the Adjudicator issuing a Final Decision based on the Record and the participating party's argument and testimony during the hearing.
5.	The Adjudicator will issue a written Final Decision within 30 days after the hearing date, or by, 201, unless the Adjudicator extends this deadline.
6.	The Claims Administrator will serve the written Final Decision on each party and pay the Third Party Claimant and/or the Claimant according to the provisions of the Final Decision, the Settlement Agreement and Court orders regarding settlement implementation, including the CAP and the May 18, 2018, Order limiting attorneys' fees.
7.	No provision of this Schedule shall be modified except upon written motion for modification within 14 days of the date of this Schedule. Thereafter, this Schedule may be modified only upon a showing of good cause that the deadline cannot reasonably be met despite the diligence of the party seeking modification.
	[ADJUDICATOR NAME],
т ,	
Issue	Date: Third Party Claims Adjudicator

#### **Exhibit C**

WITHDRAWAL OF THIRD PARTY CLAIM DISPUTE FROM THIRD PARTY CLAIMS DISPUTE RESOLUTION PROCESS										
		I. S	ETTLEMEN	T PROGRAM CL	LAIMAI	NT INFORM	ATION			
Settlement Program Claimant Name	Name or Full	Name of Busine	SS							
Claimant Identification Number										
Address	Street									
	City					State		Zip		
		II.	THIRD	PARTY CLAIM	ANT IN	IFORMATIO	N			
Third Party Claimant Name	Name or Full	Name of Busine	ss							
Address	Street									
	City			State			Zip			
III. SUMMARY OF DISPUTE RESOLUTION										
Agreed Amount to be Paid to Third Party Claimant										
Agreed Amount to be Paid to Claimant										
Note: It is understood that the Claims Administrator will pay the parties these amounts according to the provisions of the Settlement Agreement and Court Orders regarding settlement interpretation, including the Court Approved Procedure Order No. 1 regarding Third Party Claims and the May 18, 2018 Order limiting attorneys' fees, if applicable.										
	I	V. Hov	w to Con	TACT US WITH (	QUEST	TIONS OR FO	OR HELP			
If you have any questions about withdrawing your Dispute, send an email to questions@gulfspillpunitivedamagessettlement.com.										

V. How to Serve This Withdrawal on the Claims Administrator					
Submit this Form in any of the following ways:					
By Mail	HESI/Transocean Punitive Damages & Assigned Claims Settlements PO Box 10260 Dublin, OH 43017-5760				
By Overnight, Certified or Registered Mail	HESI/Transocean Punitive Damages & Assigned Claims Settlements ATTN: Third Party Claims 5151 Blazer Pkwy, Suite A Dublin, OH 43017-5760				
By Email	questions@gulfspillpunitivedamagessettlement.com				

#### VI. How to Serve This Withdrawal on the Opposing Party

Serve a copy of this Withdrawal on the opposing party by email, mail, or any other legal form of service under applicable law per Rule 5 of the Rules Governing the Third Party Claims Dispute Resolution Process.

#### VII. SIGNATURE

Both the Settlement Program Claimant and Third Party Claimant must submit a signed copy of this Withdrawal to the Claims Administrator and to the opposing party. By signing this Withdrawal, each party certifies the following:

I certify and declare under penalty of perjury pursuant to 28 U.S.C. Section 1746 that the information provided in this Withdrawal is true and accurate to the best of my knowledge, and I understand that false statements or claims made in connection with this Withdrawal may result in fines, imprisonment, and/or any other remedy available by law to the Federal Government.

I certify that I have/will serve a copy of this signed Withdrawal on the opposing party.

By submitting this Withdrawal, I consent to the payment of Settlement Payment Funds according to the terms in Section III.

Signature			Date	
Printed Name	First	Middle		Last
Title, if a Business:				

#### **Exhibit D**

#### THIRD PARTY CLAIMS DISPUTE RESOLUTION PROCESS

# FINAL DECISION AS TO FEE LIEN DISPUTE

DISPUTE: CASE NO:
By the authority granted to the Third Party Claims Adjudicator by the Court, on this day of, 201, it is ORDERED:  1. The Settlement Program Claimant owes the Third Party Claimant \$ for reasonable costs incurred by the Third Party Claimant, for a total amount owed of \$
2. The Settlement Program Claimant owes the Third Party Claimant a portion of any Settlement Payment funds as follows:
% of Claimant's Seafood Claim(s),
% of Claimant's Other Claim(s), specifically:,
Plus reasonable costs of \$ incurred by the Third Party Claimant to date
3. The Claims Administrator shall issue payment of currently withheld Settlement Payment funds to the Third Party Claimant and the Claimant as follows:
Total Amount of Currently Withheld Funds \$
Pay to Third Party Claimant \$
Pay Balance to Claimant \$
4. Additional Comments:
[ADJUDICATOR NAME],
Issue Date: Third Party Claims Adjudicator

# RULES GOVERNING THE RESOLUTION OF CLAIMS ON BEHALF OF DECEASED, MINOR, OR INCOMPETENT CLAIMANTS

Effective September 20, 2018

- 1. **Defined Terms.** All undefined terms used in this Procedure shall have the same meanings given to such terms in the HESI Punitive Damages and Assigned Claims Settlement Agreement (Amended as of September 2, 2015) and the Transocean Punitive Damages and Assigned Claims Settlement Agreement ("Settlement Agreements").
- **2.** The Purpose of this Procedure. This Procedure defines the process by which the Claims Administrator(s) will process and/or pay the claims of Deceased Claimants, Minor Claimants and Incompetent Claimants.
- **3.** *Federal Court Approval.* If a claim on behalf of a Deceased, Minor or Incompetent Claimant is found payable and the Claimant/Claimant's estate is not:
  - (a) subject to a local Court proceeding for probate/succession,
  - (b) the property of a duly executed trust in place prior to the death or incapacity/incompetency of the Claimant,
  - (c) the subject of a conservatorship/guardianship for an incompetent party,
  - (d) within the limitations set by state law for the state in which the decedent resided for use of a small estates affidavit or transfer by affidavit under that state's law could be utilized.

then the Claims Administrator(s) shall prepare a motion to file with the United States District Court for the Eastern District of Louisiana, requesting that the court issue an order of approval, approving the settlement. The Claims Administrator(s) and the claimant's representative shall file the motion jointly with the Court. The motion shall ask the federal court to enter an order of approval stating that:

- (a) The settlement of the claim for the Award Amount(s) is fair, reasonable and adequate.
- (b) The Claims Administrator(s) shall pay the Award Amount(s) to the claimant's representative.
- (c) The claimant's representative shall distribute the Award Amount(s) in accordance with applicable state law.
- (d) The Release signed by the claimant's representative is fully binding on the claimant and all persons who may claim any damages by or through any relationship with the claimant.
- (e) Any future settlements and payments on claims on behalf of the claimant made in accordance with the Settlement Agreement are approved as fair, reasonable and adequate. The Claims Administrator(s) shall issue payment on such claims to claimant's representative (or such other person who has certified to the Claims Administrator(s) that he or she has authority to act as the claimant's representative at such time), and such representative shall distribute such funds in accordance with applicable state law.

2

- **4.** *Manner and Distribution of Payment.* After the Claims Administrator(s) receives a copy of the federal order of approval and a Release executed by the claimant's representative, the Claims Administrator(s) shall issue payment to the representative, who shall be responsible for distributing the funds in accordance with applicable state law. After such payment, the Claims Administrator(s) shall have no further liability to any other person relating to the claim giving rise to this payment or to any future payments made to the representative under the Settlement Agreement.
- **5.** *Implementation of this Procedure.* The Claims Administrator(s) has the discretion to administer any steps necessary to implement this process.
- **6.** *Amendments to this Procedure.* Any amendments to this procedure shall be subject to Court approval.

# IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF LOUISIANA

In re: Oil Spill by the Oil Rig "Deepwater \* MDL No. 2179

Horizon" in the Gulf of Mexico,

On April 20, 2010 \* Section: J

\*

\*

This filing relates to: 

\* District Judge Carl J. Barbier

*15-4143*, *15-4146* & *15-4654* \*

\* Chief Magistrate Judge

\* Joseph Wilkinson

# JOINT MOTION FOR APPROVAL OF SETTLEMENT OF HESI AND TRANSOCEAN PUNITIVE DAMAGES AND/OR ASSIGNED CLAIMS SETTLEMENT CLAIM

The authorized representative of Claimant, [Name of Claimant], a [Deceased, Minor or Incompetent] claimant, and the New Class Claims Administrator and/or Old Class Claims Administrator of the HESI and Transocean Punitive Damages and Assigned Claims Settlements respectfully move this Court to (1) approve the settlement(s) of the claim filed on behalf of Claimant for [dollar amount of claim] as fair, reasonable and adequate, and (2) approve all future settlements on behalf of Claimant in compliance with the HESI and Transocean Punitive Damages and Assigned Claims Settlement Agreements as fair, reasonable and adequate.

A proposed Order accompanies this Motion.

[Date]	Respectfully submitted,
/s/	/s/

# IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF LOUISIANA

In re: Oil Spill by the Oil Rig "Deepwater \* MDL No. 2179

Horizon" in the Gulf of Mexico, \*

On April 20, 2010 \* Section: J

\*

This filing relates to: 

\* District Judge Carl J. Barbier

*15-4143*, *15-4146* & *15-4654* \*

\* Chief Magistrate Judge

\* Joseph Wilkinson

## ORDER APPROVING SETTLEMENT OF HESI AND TRANSOCEAN PUNITIVE DAMAGES AND ASSIGNED CLAIMS SETTLEMENT CLAIM

Now before the Court is the Joint Motion for Approval of Claim Under the HESI and Transocean Settlement Agreements by the representative of [Claimant's Name], a [Deceased, Minor, or Incompetent] Claimant ("Claimant") and the HESI and Transocean Punitive Damages and Assigned Claims Settlements Old and/or New Class Claims Administrators ("Claims Administrators").

The Court makes the following findings:

1. The Claimant's claim was submitted to the Claims Administrator by [Name of Representative] ("Claimant's Representative"), who has certified that he or she is fully authorized to bring the claim and to resolve it and execute a Release on behalf of the Claimant and all other persons who may claim any damages by or through any relationship with the Claimant ("Derivative Claimants").

2. The Claims Administrator has reviewed the Claimant's claim in accordance with the Court-approved Distribution Model ("Settlement Agreement") and has calculated the Award

Amount of [Award Amount \$] ("Award Amount") as payable on such claim.

3. Claimant's Representative has accepted the Award Amount as the full resolution

of Claimant's claim.

Accordingly, the foregoing Motion is GRANTED AND IT IS HEREBY ORDERED AND DECLARED THAT:

1. The settlement of Claimant's claim for the Award Amount is approved as

fair, reasonable and adequate.

2. The Claims Administrator shall pay the Award Amount to Claimant's

Representative.

3. Claimant's Representative shall distribute such funds in accordance with

applicable state law.

4. The Release is fully binding on the Claimant and all Derivative Claimants of

the Claimant.

5. Any future settlements and payments on claims on behalf of Claimant made

in accordance with the Settlement Agreement are approved as fair, reasonable and adequate. The

Claims Administrator shall issue payment on such claims to Claimant's Representative (or such

other person who has certified to the Claims Administrator that he or she has authority to act as the

Claimant's representative at such time), and such representative shall distribute such funds in

6

accordance with applicable state law.

ORDERED this \_\_\_\_\_ day of \_\_\_\_\_\_\_, 20\_\_\_.